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Sheet 1 of 3

APPLICANT FACSIMILE OF FORM PTO-1449 7-90	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO <b>UMG-030</b>	SERIAL NO. <b>09/6181104</b>
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT <b>Chen, J. Don</b>	GROUP <b>1645</b>
		FILING DATE <b>March 27, 2001</b>	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

A1	GenBank Acc. No. AF125672 for <del>Homo sapiens</del> silencing mediator of retinoic acid and thyroid hormone receptor extended isoform (SMRTE) mRNA, complete cds
A2	GenBank Acc. No. AF125671 for <del>Mus musculus</del> silencing mediator of retinoic acid and thyroid hormone receptor extended isoform (Smrte) mRNA, complete cds
A3	Aasland <i>et al.</i> "The SANT domain: a putative DNA-binding domain in the SWI-SNF and ADA complexes, the transcriptional co-repressor N-CoR and TFIIIB." <i>Trends Biochem. Sci.</i> 1996 Mar;21(3):87-8
A4	Ait-Si-Ali <i>et al.</i> "Histone acetyltransferase activity of CBP is controlled by cycle-dependent kinases and oncoprotein E1A." <i>Nature</i> 1998 Nov 12;396(6707):184-6
A5	Alland <i>et al.</i> "Role for N-CoR and histone deacetylase in Sin3-mediated transcriptional repression." <i>Nature</i> 1997 May 1;387(6628):49-55
A6	Baniahmad <i>et al.</i> "A transferable silencing domain is present in the thyroid hormone receptor, in the v-erbA oncogene product and in the retinoic acid receptor." <i>EMBO J.</i> 1992 Mar;11(3):1015-23
A7	Bourguet, <i>et al.</i> "Crystal structure of the ligand-binding domain of the human nuclear receptor RXR- $\alpha$ ." <i>Nature</i> . 1995 Jun 1;375(6530):377-82
A8	Chen <i>et al.</i> "A transcriptional co-repressor that interacts with nuclear hormone receptors." <i>Nature</i> 1995 Oct 5;377(6548):454-7
A9	Chen <i>et al.</i> "SMRT isoforms mediate repression and anti-repression of nuclear receptor heterodimers." <i>Proc. Natl. Acad. Sci. U.S.A.</i> 1996 Jul 23;93(15):7567-71
A10	Chen <i>et al.</i> "Coactivation and corepression in transcriptional regulation by steroid/nuclear hormone receptors." <i>Crit. Rev. Eukaryot. Gene Expr.</i> 1998;8(2):169-90
A11	Crawford <i>et al.</i> "Nuclear receptor DAX-1 recruits nuclear receptor corepressor N-CoR to steroidogenic factor 1." <i>Mol. Cell Biol.</i> 1998 May;18(5):2949-56
A12	Davies <i>et al.</i> "Are neuronal intranuclear inclusions the common neuropathology of triplet-repeat disorders with polyglutamine-repeat expansions?" <i>Lancet.</i> 1998 Jan 10;351(9096):131-3
A13	DePinho <i>et al.</i> "Transcriptional repression. The cancer-chromatin connection." <i>Nature.</i> 1998 Feb 5;391(6667):533, 535-6
A14	Fischbeck <i>et al.</i> "Kennedy disease." <i>J. Inherit. Metab. Dis.</i> 1997 Jun;20(2):152-8
A15	Frampton <i>et al.</i> "Proposed structure for the DNA-binding domain of the Myb oncoprotein based on model building and mutational analysis." <i>Protein Eng.</i> 1991 Dec;4(8):891-901
Examiner	Date Considered
<i>[Signature]</i>	1-7-04
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



APPLICANT FACSIMILE OF FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO <b>UMG-030</b>	SERIAL NO. <b>09/819,104</b>
LIST OF PUBLICATIONS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT <b>Chen, J. Don</b>	
		FILING DATE <b>March 27, 2001</b>	GROUP <b>1645</b>

## OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

B1	Gelmetti <i>et al.</i> "Aberrant recruitment of the nuclear receptor corepressor-histone deacetylase complex by the acute myeloid leukemia fusion partner ETO." <i>Mol. Cell Biol.</i> 1998;18(12):7185-91
B2	Grignani <i>et al.</i> "Fusion proteins of the retinoic acid receptor- $\alpha$ recruit histone deacetylase in promyelocytic leukaemia." <i>Nature</i> . 1998 Feb 19;391(6669):815-8
B3	Hanna-Rose <i>et al.</i> "Active repression mechanisms of eukaryotic transcription repressors." <i>Trends Genet.</i> 1996 Jun;12(6):229-34
B4	He <i>et al.</i> "Distinct interactions of PML-RAR $\alpha$ and PLZF-RAR $\alpha$ with co-repressors determine differential responses to RA in APL." <i>Nat. Genet.</i> 1998 Feb;18(2):126-35
B5	Heinzel <i>et al.</i> "A complex containing N-CoR, mSin3 and histone deacetylase mediates transcriptional repression." <i>Nature</i> . 1997 May 1;387(6628):43-8
B6	Hong <i>et al.</i> "SMRT corepressor interacts with PLZF and with the PML-retinoic acid receptor $\alpha$ (RAR $\alpha$ ) and PLZF-RAR $\alpha$ oncoproteins associated with acute promyelocytic leukemia." <i>Proc. Natl. Acad. Sci. U.S.A.</i> 1997 Aug 19;94(17):9028-33
B7	Hörlein <i>et al.</i> "Ligand-independent repression by the thyroid hormone receptor mediated by a nuclear receptor co-repressor." <i>Nature</i> . 1995 Oct 5;377(6548):397-404
B8	Johnson <i>et al.</i> "The price of repression." <i>Cell</i> . 1995 Jun 2;81(5):655-8
B9	Kao <i>et al.</i> "A histone deacetylase corepressor complex regulates the Notch signal transduction pathway." <i>Genes Dev.</i> 1998 Aug 1;12(15):2269-77
B10	Laherty <i>et al.</i> "SAP30, a component of the mSin3 corepressor complex involved in N-CoR-mediated repression by specific transcription factors." <i>Mol. Cell</i> . 1998 Jul;2(1):33-42
B11	Li <i>et al.</i> "Characterization of receptor interaction and transcriptional repression by the corepressor SMRT." <i>Mol. Endocrinol.</i> 1997 Dec;11(13):2025-37
B12	Lin <i>et al.</i> "Role of the histone deacetylase complex in acute promyelocytic leukaemia." <i>Nature</i> . 1998 Feb 19;391(6669):811-4
B13	Lutterbach <i>et al.</i> "ETO, a target of t(8;21) in acute leukemia, interacts with the N-CoR and mSin3 corepressors." <i>Mol. Cell Biol.</i> 1998 Dec;18(12):7176-84
B14	Muscatelli <i>et al.</i> "Mutations in the DAX-1 gene give rise to both X-linked adrenal hypoplasia congenita and hypogonadotropic hypogonadism." <i>Nature</i> . 1994 Dec 15;372(6507):672-6
B15	Nagy <i>et al.</i> "Nuclear receptor repression mediated by a complex containing SMRT, mSin3A, and histone deacetylase." <i>Cell</i> . 1997 May 2;89(3):373-80
B16	Ogata <i>et al.</i> "Solution structure of a specific DNA complex of the Myb DNA-binding domain with cooperative recognition helices." <i>Cell</i> . 1994 Nov 18;79(4):639-48
B17	Ordentlich <i>et al.</i> "Unique forms of human and mouse nuclear receptor corepressor SMRT." <i>Proc. Natl. Acad. Sci. U.S.A.</i> 1999 Mar 16;96(6):2639-44
B18	Park <i>et al.</i> "SMRT $\epsilon$ , a silencing mediator for retinoid and thyroid hormone receptors-extended isoform that is more related to the nuclear receptor corepressor." <i>Proc. Natl. Acad. Sci. U.S.A.</i> 1999 Mar 30;96(7):3519-24
B19	Rastinejad <i>et al.</i> "Structural determinants of nuclear receptor assembly on DNA direct repeats." <i>Nature</i> . 1995 May 18;375(6528):203-11
B20	Reddy <i>et al.</i> "The complex pathology of trinucleotide repeats." <i>Curr. Opin. Cell Biol.</i> 1997 Jun;9(3):364-72

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1-7-07

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